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03C#04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

		Docket No.: 9/191US
Application of	: Gereg, G.	) Art Unit:
Serial No.	: 09/902,086	) Examiner:
Filed	: July 11, 2001	
For	: Apparatus and Method for Predicting the Suitability of a Substance for Dry Granulation by Roller Compaction Using Small Sample Sizes	

Assistant Commissioner for Patents
Washington, D.C. 20231

September 18, 2001

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith concerning the subject application is an Information Disclosure Statement under 37 C.F.R. §§1.56 and

☒ 1.97(b). This Statement is being filed within three months of the filing date of a national application; within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or before the mailing of a first Office Action on the merits, whichever event occurs last.

☐ 1.97(c). This Statement is being filed after the time period specified in 37 C.F.R. §1.97(b), but before the mailing date of either a final action under §1.113 or a notice of allowance under 37 C.F.R. §1.311, whichever occurs first. This Statement is being accompanied by:

　　　☐ A certification as specified in 37 C.F.R. §1.97(e); or

　　　☐ The fee set forth in 37 C.F.R. §1.17(p).

　　　☐ The Assistant Commissioner is hereby authorized to charge payment of the $240.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 02-2955.
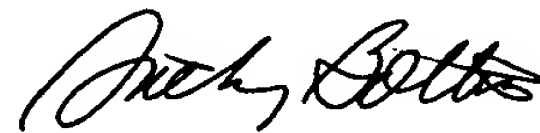
☐   1.97(d).   This Statement is being filed after the mailing of either a final action under 37 C.F.R. §1.113 or a notice of allowance under 37 C.F.R. §1.311, whichever occurs first, but before payment of the issue fee. This Statement is being accompanied by a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of this Statement, and the petition fee set fort in 37 C.F.R. §1.17(i).

☐   The Assistant Commissioner is hereby authorized to charge payment of the $130.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 02-2955.

☒   The Assistant Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. §1.16 and any patent application processing fees under 37 C.F.R. §1.17, or credit any overpayment of same, to Deposit Account No. 02-2955.

Triplicate copies of this form are enclosed.

Respectfully submitted,

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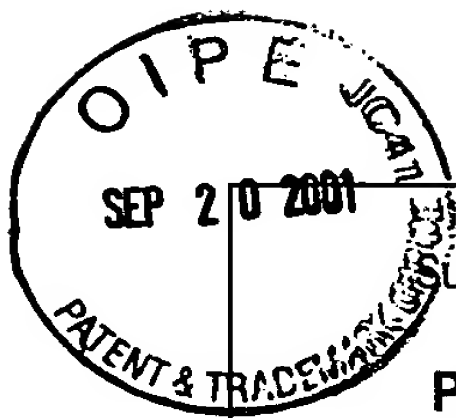Tel.: (203) 798-4866

Substitute for form 1449A/PTO

PATENT DOCUMENTS
SUBMITTED BY APPLICANT

	Complete If Known
Application Number	09/902,086
Filing Date	July 11, 2001
First Named Inventor	Gereg, George
Group Art Unit	
Examiner Name	

Sheet	1	of	1	Attorney Docket Number	9/191US

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code[2] (if known)			
	AUS1	4,880,373		Balog, S. et al	11/14/1989	
	AUS2					
	AUS3					
	AUS4					
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	AUS20					

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	AF1							☐
	AF2							☐
	AF3							☐
	AF4							☐
	AF5							☐
	AF6							☐
	AF7							☐
	AF8							☐
	AF9							☐
	AF10							☐

Examiner Signature		Date Considered	